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## Dual bases for non commutative symmetric and quasi-symmetric functions via monoidal factorization

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**Abstract.** In this work, an effective construction, via Schützenberger’s monoidal factorization, of dual bases for the non commutative symmetric and quasi-symmetric functions is proposed.

**Keywords :** Non commutative symmetric functions, Quasi-symmetric functions, Lyndon words, Lie elements, Monoidal factorization, Transcendence bases.

## 1 Introduction

Originally, “symmetric functions” are thought of as “functions of the roots of some polynomial” [5]. The factorization formula

$$P(x) = \prod_{\alpha \in \mathcal{O}(P)} (X - \alpha) = \sum_{j=0}^n X^{n-j} (-1)^j \Lambda_j(\mathcal{O}(P)) \quad (1)$$

where  $\mathcal{O}(P)$  is the (multi-)set of roots of  $P$  (a polynomial) invites to consider  $\Lambda_j(?)$  as a “multiset (endo)functor”<sup>1</sup> rather than a function  $K^n \rightarrow K$  ( $K$  is a field where  $P$  splits). But, here,  $\Lambda_k(X) = 0$  whenever  $|X| < k$  and one would like to get the universal formulas *i.e.* which hold true whatever the cardinality of  $|X|$ . This set of formulas is obtained as soon as the alphabet is infinite and, there, this calculus appears as an art of computing symmetric functions without using any variable<sup>2</sup>. With this point of view, one sees that the algebra of symmetric functions comes equipped with many additional structures [5, 8–11] (comultiplications,  $\lambda$ -ring, transformations of alphabets, internal product,  $\dots$ ). For our concern here, the most important of these features is the fact that the (commutative) Hopf algebra of symmetric functions is self-dual.

At the cost of losing self-duality, features of the (Hopf) algebra of symmetric functions carry over to the noncommutative level [5]. This loss of self-duality has however a merit : allowing to separate the two sides in the factorization of the diagonal series<sup>3</sup>, thus giving a meaning to what could be considered a complete system of local coordinates for the Hausdorff group of the stuffle Hopf algebra. Indeed, the elements of the Hausdorff group of the (shuffle or stuffle) algebras exactly are, through the isomorphism  $A\langle\langle Y \rangle\rangle \simeq (A(Y))^*$ , the characters of the algebra. Then, applying  $S \otimes \text{Id}$  ( $S \in \text{Haus}(\mathcal{H})$ ) to the factorization

$$\sum_{w \in Y^*} w \otimes w = \prod_{l \in \mathcal{L}yn Y}^{\searrow} \exp(s_l \otimes p_l) \quad (2)$$

and using the fact that  $S$  is a (continuous) character, one gets a decomposition of  $S$  through this complete system of local coordinates :

$$S = \sum_{w \in Y^*} \langle S \mid w \rangle w = \prod_{l \in \mathcal{L} \cup Y}^{\searrow} \exp(\langle S \mid s_l \rangle p_l). \quad (3)$$

This fact is better understood when one considers Sweedler's dual of the (shuffle of stuffle) Hopf algebra  $\mathcal{H}$ , which contains as well  $\text{Haus}(\mathcal{H})$  and its Lie algebra, the space of infinitesimal characters. Such a character is here a series  $T$  such that (as a linear form)

$$\Delta_*(T) = T \otimes \epsilon + \epsilon \otimes T \quad (4)$$

<sup>1</sup> We will not touch here on this categorical aspect.

<sup>2</sup> see <http://mathoverflow.net/questions/123926/reference-request-lascoux-formulas-for-chern-classes-of-tensor-products-and-sy/124172#124172>

<sup>3</sup> Schützenberger’s monoidal factorization [1, 14, 4].

and one sees from this definition that such a series, as well as the characters, satisfies an identity of the type

$$\Delta_*(S) = \sum_{i=1}^N S_i^{(1)} \otimes S_i^{(2)} \quad (5)$$

for some finite double family  $(S_i^{(1)}, S_i^{(2)})_{1 \leq i \leq N}$ . Then in (3), the character  $S$  is factorized as an (infinite) product of *elementary* characters<sup>4</sup>. This shows firstly, one can reconstruct a character from its projection onto the free Lie algebra<sup>5</sup> and secondly, we have at hand a resolution of unity from the process

$$\text{character} \rightarrow \text{projection} \rightarrow (\text{coordinates}) \text{ splitting} \rightarrow \text{exponentials} \rightarrow \text{infinite product} \quad (6)$$

and the key point of this resolution is exactly the system of coordinate forms provided by the dual family of any PBW homogeneous basis.

This paper is devoted to a detailed exposition of the machinery and morphisms surrounding this resolution (Equation (2)) and it is structured as follows : in Section 2, we give a reminder on noncommutative symmetric and quasi-symmetric functions, in Section 3, we focus on the combinatorial aspects of the quasi-shuffle Hopf algebra will be introduced to obtain, via Schützenberger's monoidal factorization, a pair of bases in duality for the noncommutative symmetric and quasi-symmetric functions, encoded by words.

## 2 Background

### 2.1 Some notations and statistics about compositions

For any *composition*  $I = (i_1, \dots, i_k)$  of strictly positive integers<sup>6</sup>, called the *parts* of  $I$ , the mirror image of  $I$ , denoted by  $\tilde{I}$ , is the composition  $(i_k, \dots, i_1)$ . Let  $I = (i_1, \dots, i_k) \in (\mathbb{N}_+)^*$ , the *length* and the *weight* of  $I$  are defined respectively as the numbers  $l(I) = k$  and  $w(I) = i_1 + \dots + i_k$ . The last part and the product of the partial sum of the entries of  $I$  are defined respectively as the numbers  $lp(I) = i_k$  and  $\pi_u(I) = i_1(i_1 + i_2) \dots (i_1 + \dots + i_k)$ . One defines also

$$\pi(I) = \prod_{p=1}^k i_p \text{ and } sp(I) = \pi(I)l(I)!. \quad (7)$$

Let  $J$  be a composition which is finer than  $I$  and let  $J = (J_1, \dots, J_k)$  be the decomposition of  $J$  such that, for any  $p = 1, \dots, k$ ,  $w(J_p) = i_p$ . One defines

$$l(J, I) = \prod_{i=1}^k l(J_i), \quad lp(J, I) = \prod_{i=1}^k lp(J_i), \quad \pi_u(J, I) = \prod_{i=1}^k \pi_u(J_i), \quad sp(J, I) = \prod_{i=1}^k sp(J_i). \quad (8)$$

### 2.2 Noncommutative symmetric functions

Let  $\mathbf{k}$  be a commutative  $\mathbb{Q}$ -algebra. The algebra of noncommutative symmetric functions, denoted by  $\mathbf{Sym}_{\mathbf{k}} = (\mathbf{k}\langle S_1, S_2, \dots \rangle, \bullet, 1)$ , introduced in [5], is the free associative algebra generated by an infinite sequence  $\{S_n\}_{n \geq 1}$  of non commuting indeterminates also called *complete* homogenous symmetric functions. Let  $t$  be another variable commuting with all the  $\{S_n\}_{n \geq 1}$ . Introducing the ordinary generating series

$$\sigma(t) = 1 + \sum_{n \geq 1} S_n t^n, \quad (9)$$

other noncommutative symmetric functions can be derived by the following relations

$$\lambda(t) = \sigma(-t)^{-1}, \quad \sigma(t) = \exp(\Phi(t)), \quad \frac{d}{dt} \sigma(t) = \sigma(t) \psi(t) = \psi^*(t) \sigma(t), \quad (10)$$

<sup>4</sup> which are exponentials of rank one infinitesimal characters

<sup>5</sup> the map  $S \mapsto \sum_{l \in \mathcal{L}_{ynY}} \langle S \mid s_l \rangle p_l$  is the projection onto the free Lie algebra parallel to the space generated by the non-primitive elements of the PBW basis

<sup>6</sup> i.e.  $I$  is an element of the monoid  $(\mathbb{N}_+)^*$  and the empty composition will be denoted here by  $\emptyset$ .

where  $\Phi, \lambda, \psi$  are respectively the following ordinary generating series

$$\Phi(t) = \sum_{n \geq 1} \Phi_n \frac{t^n}{n}, \quad \lambda(t) = 1 + \sum_{n \geq 1} \Lambda_n t^n, \quad \psi(t) = \sum_{n \geq 1} \Psi_n t^{n-1}. \quad (11)$$

The noncommutative symmetric functions  $\{\Lambda_n\}_{n \geq 1}$  are called *elementary* functions. The elements  $\{\Psi_n\}_{n \geq 1}$  (resp.  $\{\Phi_n\}_{n \geq 1}$ ) are called *power sums of the first kind* (resp. *second kind*).

Let  $I = (i_1, \dots, i_k) \in (\mathbb{N}_+)^*$ , one defines the products of complete and elementary symmetric functions, and the products of power sums as follows [5]

$$S^I = S_{i_1} \dots S_{i_k}, \quad \Lambda^I = \Lambda_{i_1} \dots \Lambda_{i_k}, \quad \Psi^I = \Psi_{i_1} \dots \Psi_{i_k}, \quad \Phi^I = \Phi_{i_1} \dots \Phi_{i_k}. \quad (12)$$

and it is established that

$$S^I = \sum_{J \succeq I} (-1)^{l(J)-w(I)} \Lambda^J \text{ and } \Lambda^I = \sum_{J \succeq I} (-1)^{l(J)-w(I)} S^J. \quad (13)$$

$$S^I = \sum_{J \succeq I} \frac{\Psi^J}{\pi_u(J, I)} \text{ and } \Psi^I = \sum_{J \succeq I} (-1)^{l(J)-l(I)} lp(J, I) S^J, \quad (14)$$

$$S^I = \sum_{J \succeq I} \frac{\Phi^J}{sp(J, I)} \text{ and } \Phi^I = \sum_{J \succeq I} (-1)^{l(J)-l(I)} \frac{\pi(I)}{l(J, I)} S^J, \quad (15)$$

$$\Lambda^I = \sum_{J \succeq I} (-1)^{w(J)-l(I)} \frac{\Psi^J}{\pi_u(\tilde{J}, \tilde{I})} \text{ and } \Psi^I = \sum_{J \succeq I} (-1)^{w(I)+l(J)} lp(\tilde{J}, \tilde{I}) \Lambda^J, \quad (16)$$

$$\Lambda^I = \sum_{J \succeq I} (-1)^{w(J)-l(I)} \frac{\Phi^J}{sp(J, I)} \text{ and } \Phi^I = \sum_{J \succeq I} (-1)^{w(J)-l(I)} \frac{\pi(I)}{l(J, I)} \Lambda^J, \quad (17)$$

The families  $\{S^I\}_{I \in (\mathbb{N}_+)^*}$ ,  $\{\Lambda^I\}_{I \in (\mathbb{N}_+)^*}$ ,  $\{\Psi^I\}_{I \in (\mathbb{N}_+)^*}$  and  $\{\Phi^I\}_{I \in (\mathbb{N}_+)^*}$  are then homogeneous bases of **Sym**<sub>**k**</sub>. Recall that  $S^\emptyset = \Lambda^\emptyset = \Psi^\emptyset = \Phi^\emptyset = 1$ .

The **k**-algebra **Sym**<sub>**k**</sub> possesses a finite-dimensional grading by the weight function defined, for any composition  $I = (i_1, \dots, i_k)$ , by the number  $w(S_I) = w(I)$ . Its homogeneous component of weight  $n$  (free and finite-dimensional) will be denoted by **Sym**<sub>**k**</sub> <sub>$n$</sub>  and one has

$$\mathbf{Sym}_{\mathbf{k}} = \mathbf{k}1_{\mathbf{Sym}_{\mathbf{k}}} \oplus \bigoplus_{n \geq 1} \mathbf{Sym}_{\mathbf{k}_n}. \quad (18)$$

One can also endow **Sym**<sub>**k**</sub> with a structure of Hopf algebra, the coproduct  $\Delta_\star$  being defined by one of the following equivalent formulae, with the convention that  $S_0 = S^\emptyset = 1$  and  $\Lambda_0 = \lambda^\emptyset = 1$  [5]

$$\Delta_\star S_n = \sum_{i=0}^n S_i \otimes S_{n-i} \text{ and } \Delta_\star \Lambda_n = \sum_{i=0}^n \Lambda_i \otimes \Lambda_{n-i}, \quad (19)$$

$$\Delta_\star \Psi_n = 1 \otimes \Psi_n + \Psi_n \otimes 1 \text{ and } \Delta_\star \Phi_n = 1 \otimes \Phi_n + \Phi_n \otimes 1. \quad (20)$$

In other words, for the coproduct  $\Delta_\star$ , the power sums of the first kind  $\{\Psi_n\}_{n \geq 1}$  and of the second kind  $\{\Phi_n\}_{n \geq 1}$  are primitive. The noncommutative symmetric function  $S_1 = \Lambda_1$  is primitive but  $\{S_n\}_{n \geq 2}$  and  $\{\Lambda_n\}_{n \geq 2}$  are neither primitive nor group-like. Moreover, by (13), (14) and (15), one has

$$S_1 = \Lambda_1 = \Phi_1 = \Psi_1. \quad (21)$$

With the concatenation, the coproduct  $\Delta_\star$  and the counit  $\epsilon$  defined by

$$\forall I \in (\mathbb{N}_+)^*, \quad \epsilon(S^I) = \langle S^I \mid 1 \rangle, \quad (22)$$

one gets the bialgebra,  $(\mathbf{k}\langle S_1, S_2, \dots \rangle, \bullet, 1, \Delta_\star, \epsilon)$ , over the **k**-algebra **Sym**<sub>**k**</sub>. This algebra,  $\mathbb{N}$ -graded by the weight is, as we will see in Theorem 2, the *concatenation* Hopf algebra.

### 2.3 Quasi-symmetric functions

Let us consider also an infinite sequence  $\{M_n\}_{n \geq 1}$  of non commuting indeterminates generating the free associative algebra<sup>7</sup>  $\mathbf{QSym}_{\mathbf{k}} \equiv (\mathbf{k}\langle M_1, M_2, \dots \rangle, \bullet, 1)$  and define the elements  $\{M_I\}_{I \in (\mathbb{N}_+)^*}$  as follows

$$M_{\emptyset} = 1 \text{ and } \forall I = (i_1, \dots, i_k) \in (\mathbb{N}_+)^*, \quad M_I = M_{i_1} \dots M_{i_k}. \quad (23)$$

The elements  $\{M_I\}_{I \in (\mathbb{N}_+)^*}$  of  $\mathbf{QSym}_{\mathbf{k}}$  are also called the *monomial quasi-symmetric* functions. They are homogeneous polynomials of degree  $w(I)$ . This family is then an homogeneous basis of  $\mathbf{QSym}_{\mathbf{k}}$ . With the pairing

$$\forall I, J \in (\mathbb{N}_+)^*, \quad \langle S^I \mid M_J \rangle_{\text{ext}} = \delta_{I,J}, \quad (24)$$

one constructs the bialgebra dual to  $\mathbf{Sym}_{\mathbf{k}}$ ,  $(\mathbf{k}\langle M_1, M_2, \dots \rangle, \star, 1, \Delta_{\bullet}, \varepsilon)$ , over the  $\mathbf{k}$ -algebra  $\mathbf{QSym}_{\mathbf{k}}$ . Here,

1. the coproduct  $\Delta_{\bullet}$  is defined by

$$\forall I \in (\mathbb{N}_+)^*, \quad \Delta_{\bullet}(M_I) = \sum_{I_1, I_2 \in (\mathbb{N}_+)^*, I_1 \cdot I_2 = I} M_{I_1} \otimes M_{I_2}, \quad (25)$$

2. the counit  $\varepsilon$  is defined by

$$\forall I \in (\mathbb{N}_+)^*, \quad \varepsilon(M_I) = \langle M_I \mid 1 \rangle, \quad (26)$$

3. the product  $\star$  is the commutative product associated to the coproduct  $\Delta_{\star}$  and is defined, for any composition  $I \in (\mathbb{N}_+)^*$ , by

$$M_I \star M_{\emptyset} = M_{\emptyset} \star M_I = M_I \quad (27)$$

and for any composition  $I = (i, I')$  and  $J = (j, J') \in (\mathbb{N}_+)^*$

$$M_I \star M_J = M_i(M_{I'} \star M_J) + M_j(M_I \star M_{J'}) + M_{i+j}(M_{I'} \star M_{J'}). \quad (28)$$

Since the bialgebra  $\mathbf{QSym}_{\mathbf{k}}$  is  $\mathbb{N}$ -graded by the weight (as the dual of the  $\mathbb{N}$ -graded bialgebra  $\mathbf{Sym}_{\mathbf{k}}$ ) :

$$\mathbf{QSym}_{\mathbf{k}} = \mathbf{k}1_{\mathbf{QSym}_{\mathbf{k}}} \oplus \bigoplus_{n \geq 1} \mathbf{QSym}_{\mathbf{k}n} \quad (29)$$

then it is, in fact, the *convolution* Hopf algebra. Indeed, one can check that, for any  $K, I, J \in (\mathbb{N}_+)^*$ ,

$$\langle \Delta_{\star} S^K \mid M_I \otimes M_J \rangle_{\text{ext}} = \langle S^K \mid M_I \star M_J \rangle_{\text{ext}} \text{ and } \langle \Delta_{\bullet} M_K \mid S^I \otimes S^J \rangle_{\text{ext}} = \langle M_K \mid S^I S^J \rangle_{\text{ext}}. \quad (30)$$

## 3 Noncommutative symmetric, quasi-symmetric functions, and monoidal factorization

### 3.1 Combinatorics on shuffle and stuffle Hopf algebras

Let  $Y = \{y_i\}_{i \geq 1}$  be a totally ordered alphabet<sup>8</sup>. The free monoid and the set of Lyndon words, over  $Y$ , are denoted respectively by  $Y^*$  and  $\mathcal{Lyn}Y$  [1, 14, 4]. The neutral element of  $Y^*$  is denoted by  $1_{Y^*}$ .

Let  $u = y_{i_1} \dots y_{i_k} \in Y^*$ , the *length* and the *weight* of  $u$  are defined respectively as the numbers  $l(u) = k$  and  $w(u) = i_1 + \dots + i_k$ .

Let us define the commutative product over  $\mathbf{k}Y$ , denoted by  $\mu$ , as follows [3]

$$\forall y_n, y_m \in Y, \quad \mu(y_n, y_m) = y_{n+m}, \quad (31)$$

<sup>7</sup> We here use the symbol  $\equiv$  to warn the reader that the structure of free algebra is used to construct the basis of  $\mathbf{QSym}_{\mathbf{k}}$  which will be later free *as a commutative algebra* (with the stuffle product) and by no means as a noncommutative algebra (with the concatenation product).

<sup>8</sup> by  $y_1 > y_2 > y_3 > \dots$

or by its associated coproduct,  $\Delta_+$ , defined by

$$\forall y_n \in Y, \Delta_+ y_n = \sum_{i=1}^{n-1} y_i \otimes y_{n-i} \quad (32)$$

satisfying,

$$\forall x, y, z \in Y, \quad \langle \Delta_+ x \mid y \otimes z \rangle = \langle x \mid \mu(y, z) \rangle. \quad (33)$$

Let  $\mathbf{k}\langle Y \rangle$  be equipped by

1. The concatenation (or by its associated coproduct,  $\Delta_\bullet$ ).
2. The *shuffle* product, *i.e.* the commutative product defined by [15]

$$\forall w \in Y^*, \quad w \sqcup 1_{Y^*} = 1_{Y^*} \sqcup w = w, \quad (34)$$

$$\forall x, y \in Y, \forall u, v \in Y^*, \quad xu \sqcup yv = x(u \sqcup yv) + y(xu \sqcup v) \quad (35)$$

or by its associated coproduct,  $\Delta_{\sqcup}$ , defined, on the letters, by

$$\forall y_k \in Y, \quad \Delta_{\sqcup} y_k = y_k \otimes 1 + 1 \otimes y_k \quad (36)$$

and extended by morphism. It satisfies

$$\forall u, v, w \in Y^*, \quad \langle \Delta_{\sqcup} w \mid u \otimes v \rangle = \langle w \mid u \sqcup v \rangle. \quad (37)$$

3. The *quasi-shuffle* product, *i.e.* the commutative product defined by [12], for any  $w \in Y^*$ ,

$$w \sqcup 1_{Y^*} = 1_{Y^*} \sqcup w = w, \quad (38)$$

and, for any  $y_i, y_j \in Y, \forall u, v \in Y^*$ ,

$$y_i u \sqcup y_j v = y_j(y_i u \sqcup v) + y_i(u \sqcup y_j v) + \mu(y_i, y_j)(u \sqcup v), \quad (39)$$

$$= y_j(y_i u \sqcup v) + y_i(u \sqcup y_j v) + y_{i+j}(u \sqcup v) \quad (40)$$

or by its associated coproduct,  $\Delta_{\sqcup}$ , defined, on the letters, by

$$\forall y_k \in Y, \quad \Delta_{\sqcup} y_k = \Delta_{\sqcup} y_k + \Delta_+ y_k \quad (41)$$

and extended by morphism. It satisfies

$$\forall u, v, w \in Y^*, \quad \langle \Delta_{\sqcup} w \mid u \otimes v \rangle = \langle w \mid u \sqcup v \rangle. \quad (42)$$

Note that  $\Delta_{\sqcup}$  and  $\Delta_{\sqcup}$  are morphisms for the concatenation (by definition) whereas  $\Delta_+$  is not a morphism for the product of  $\mathbf{k}Y$  (for example  $\Delta_+(y_1^2) = y_1 \otimes y_1$ , whereas  $\Delta_+(y_1)^2 = 0$ ).

Hence, with the counit  $\mathbf{e}$  defined by

$$\forall P \in \mathbf{k}\langle Y \rangle, \quad \mathbf{e}(P) = \langle P \mid 1_{Y^*} \rangle, \quad (43)$$

one gets two pairs of mutually dual bialgebras

$$\mathcal{H}_{\sqcup} = (\mathbf{k}\langle Y \rangle, \bullet, 1, \Delta_{\sqcup}, \mathbf{e}) \text{ and } \mathcal{H}_{\sqcup}^\vee = (\mathbf{k}\langle Y \rangle, \sqcup, 1, \Delta_\bullet, \mathbf{e}), \quad (44)$$

$$\mathcal{H}_{\sqcup} = (\mathbf{k}\langle Y \rangle, \bullet, 1, \Delta_{\sqcup}, \mathbf{e}) \text{ and } \mathcal{H}_{\sqcup}^\vee = (\mathbf{k}\langle Y \rangle, \sqcup, 1, \Delta_\bullet, \mathbf{e}). \quad (45)$$

Let us then consider the following diagonal series<sup>9</sup>

$$\mathcal{D}_{\sqcup} = \sum_{w \in Y^*} w \otimes w \text{ and } \mathcal{D}_{\sqcup} = \sum_{w \in Y^*} w \otimes w. \quad (46)$$

<sup>9</sup> We use two notations for the same combinatorial object in order to stress the fact that the treatment will be slightly different.

Here, in  $\mathcal{D}_{\sqcup}$  and  $\mathcal{D}_{\boxplus}$ , the operation on the right factor of the tensor product is the concatenation, and the operation on the left factor is the shuffle and the quasi-shuffle, respectively.

By the Cartier-Quillen-Milnor-Moore theorem (see [3]), the connected  $\mathbb{N}$ -graded, co-commutative Hopf algebra  $\mathcal{H}_{\sqcup}$  is isomorphic to the enveloping algebra of the Lie algebra of its primitive elements which is equal to  $\mathcal{L}ie_{\mathbf{k}}\langle Y \rangle$  :

$$\mathcal{H}_{\sqcup} \cong \mathcal{U}(\mathcal{L}ie_{\mathbf{k}}\langle Y \rangle) \text{ and } \mathcal{H}_{\sqcup}^{\vee} \cong \mathcal{U}(\mathcal{L}ie_{\mathbf{k}}\langle Y \rangle)^{\vee}. \quad (47)$$

Hence, let us consider

1. the PBW-Lyndon basis  $\{p_w\}_{w \in Y^*}$  for  $\mathcal{U}(\mathcal{L}ie_{\mathbf{k}}\langle Y \rangle)$  constructed recursively as follows [4]

$$\begin{cases} p_y = y & \text{for } y \in Y, \\ p_l = [p_s, p_r] & \text{for } l \in \mathcal{L}yn Y, \text{ standard factorization of } l = (s, r), \\ p_w = p_{l_1}^{i_1} \dots p_{l_k}^{i_k} & \text{for } w = l_1^{i_1} \dots l_k^{i_k}, l_1 > \dots > l_k, l_1 \dots, l_k \in \mathcal{L}yn Y, \end{cases}$$

2. and, by duality<sup>10</sup>, the linear basis  $\{s_w\}_{w \in Y^*}$  for  $(\mathbf{k}\langle Y \rangle, \sqcup, 1_{Y^*})$ , *i.e.*

$$\forall u, v \in Y^*, \langle p_u \mid s_v \rangle = \delta_{u,v}. \quad (48)$$

It can be shown that this basis can be computed recursively as follows [15]

$$\begin{cases} s_y = y, & \text{for } y \in Y, \\ s_l = y s_u, & \text{for } l = y u \in \mathcal{L}yn Y, \\ s_w = \frac{1}{i_1! \dots i_k!} s_{l_1}^{\sqcup i_1} \sqcup \dots \sqcup s_{l_k}^{\sqcup i_k} & \text{for } w = l_1^{i_1} \dots l_k^{i_k}, l_1 > \dots > l_k. \end{cases}$$

Hence, we get Schützenberger's factorization of  $\mathcal{D}_{\sqcup}$

$$\mathcal{D}_{\sqcup} = \prod_{l \in \mathcal{L}yn Y}^{\rightarrow} \exp(s_l \otimes p_l) \in \mathcal{H}_{\sqcup}^{\vee} \hat{\otimes} \mathcal{H}_{\sqcup}.$$

Similarly, by the Cartier-Quillen-Milnor-Moore theorem (see [3]), the connected  $\mathbb{N}$ -graded, co-commutative Hopf algebra  $\mathcal{H}_{\boxplus}$  is isomorphic to the enveloping algebra of its primitive elements :

$$\text{Prim}(\mathcal{H}_{\boxplus}) = \text{Im}(\pi_1) = \text{span}_{\mathbf{k}}\{\pi_1(w) \mid w \in Y^*\}, \quad (49)$$

where, for any  $w \in Y^*$ ,  $\pi_1(w)$  is obtained as follows [13]

$$\pi_1(w) = w + \sum_{k \geq 2} \frac{(-1)^{k-1}}{k} \sum_{u_1, \dots, u_k \in Y^+} \langle w \mid u_1 \boxplus \dots \boxplus u_k \rangle u_1 \dots u_k. \quad (50)$$

note that the eq. 50 is equivalent to the following identity which will be used later on

$$w = \sum_{k \geq 0} \frac{1}{k!} \sum_{u_1, \dots, u_k \in Y^*} \langle w \mid u_1 \boxplus \dots \boxplus u_k \rangle \pi_1(u_1) \dots \pi_1(u_k). \quad (51)$$

In particular, for any  $y_k \in Y$ , the primitive polynomial  $\pi_1(y_k)$  is given by

$$\pi_1(y_k) = y_k + \sum_{l \geq 2} \frac{(-1)^{l-1}}{l} \sum_{\substack{j_1, \dots, j_l \geq 1 \\ j_1 + \dots + j_l = k}} y_{j_1} \dots y_{j_l}, \quad (52)$$

As previously, (52) is equivalent to

$$y_n = \sum_{k \geq 1} \frac{1}{k!} \sum_{s'_1 + \dots + s'_k = n} \pi_1(y_{s'_1}) \dots \pi_1(y_{s'_k}). \quad (53)$$

Hence, by introducing the new alphabet  $\bar{Y} = \{\bar{y}\}_{y \in Y} = \{\pi_1(y)\}_{y \in Y}$ , one has

$$\mathcal{H}_{\boxplus} \cong \mathcal{U}(\mathcal{L}ie_{\mathbf{k}}\langle \bar{Y} \rangle) \cong \mathcal{U}(\text{Prim}(\mathcal{H}_{\boxplus})) \text{ and } \mathcal{H}_{\boxplus}^{\vee} \cong \mathcal{U}(\mathcal{L}ie_{\mathbf{k}}\langle \bar{Y} \rangle)^{\vee} \cong \mathcal{U}(\text{Prim}(\mathcal{H}_{\boxplus}))^{\vee}. \quad (54)$$

By considering

<sup>10</sup> The dual family (i.e. the set of coordinate forms) of a basis lies in the algebraic dual which is here the space of noncommutative series, but as the enveloping algebra under consideration is graded in finite dimensions (here by the multidegree), these series are in fact (multihomogeneous) polynomials.

1. the PBW-Lyndon basis  $\{\Pi_w\}_{w \in Y^*}$  for  $\mathcal{U}(\text{Prim}(\mathcal{H}_{\boxplus}))$  constructed recursively as follows [13]

$$\begin{cases} \Pi_y = \pi_1(y) & \text{for } y \in Y, \\ \Pi_l = [\Pi_s, \Pi_r] & \text{for } l \in \mathcal{Lyn}Y, \text{ standard factorization of } l = (s, r), \\ \Pi_w = \Pi_{l_1}^{i_1} \dots \Pi_{l_k}^{i_k} & \text{for } w = l_1^{i_1} \dots l_k^{i_k}, l_1 > \dots > l_k, l_1, \dots, l_k \in \mathcal{Lyn}Y, \end{cases}$$

2. and, by duality<sup>11</sup>, the linear basis  $\{\Sigma_w\}_{w \in Y^*}$  for  $(\mathbf{k}\langle Y \rangle, \boxplus, 1_{Y^*})$ , i.e.

$$\forall u, v \in Y^*, \langle \Pi_u \mid \Sigma_v \rangle = \delta_{u,v}. \quad (55)$$

It can be shown that this basis can be computed recursively as follows [2, 13]

$$\begin{cases} \Sigma_y = y & \text{for } y \in Y, \\ \Sigma_l = \sum_{\substack{\{s'_1, \dots, s'_l\} \subset \{s_1, \dots, s_k\}, l_1 \geq \dots \geq l_n \in \mathcal{Lyn}Y \\ (y_{s_1} \dots y_{s_k}) \stackrel{*}{=} (y_{s'_1}, \dots, y_{s'_n}, l_1, \dots, l_n)}} \frac{1}{l!} y_{s'_1} + \dots + y_{s'_l} \Sigma_{l_1 \dots l_n} & \text{for } l = y_{s_1} \dots y_{s_k} \in \mathcal{Lyn}Y, \\ \Sigma_w = \frac{1}{i_1! \dots i_k!} \Sigma_{l_1}^{\boxplus i_1} \boxplus \dots \boxplus \Sigma_{l_k}^{\boxplus i_k} & \text{for } w = l_1^{i_1} \dots l_k^{i_k}, l_1 > \dots > l_k, \end{cases}$$

we get the following extended Schützenberger's factorization of  $\mathcal{D}_{\boxplus}$  [2, 13]

$$\mathcal{D}_{\boxplus} = \prod_{l \in \mathcal{Lyn}Y}^{\rightarrow} \exp(\Sigma_l \otimes \Pi_l) \in \mathcal{H}_{\boxplus}^{\vee} \hat{\otimes} \mathcal{H}_{\boxplus}. \quad (56)$$

### 3.2 Encoding noncommutative symmetric and quasi-symmetric functions by words

**Proposition 1.** Let  $\mathcal{Y}(t)$  be the following ordinary generating series of  $\{y_n\}_{n \geq 1}$  :

$$\mathcal{Y}(t) = 1 + \sum_{n \geq 1} y_n t^n \in \mathbb{Q}\langle Y \rangle[[t]].$$

Then  $\mathcal{Y}(t)$  is group-like, for the coproduct  $\Delta_{\boxplus}$ .

*Proof.* We have successively ((here, in order to make complete the correspondence  $\mathcal{S}$ , we put  $y_0 = 1$ )

$$\Delta_{\boxplus} \mathcal{Y}(t) = \sum_{n \geq 0} \left( \sum_{r+s=n} y_s \otimes y_r \right) t^n = \sum_{n \geq 0} \sum_{r+s=n} (y_s t^s) \otimes (y_r t^r).$$

It follows then  $\Delta_{\boxplus} \mathcal{Y}(t) = \mathcal{Y}(t) \hat{\otimes} \mathcal{Y}(t)$  meaning (with  $\mathbf{e}(\mathcal{Y}(t)) = 1$ ) that  $\mathcal{Y}(t)$  is group-like.

**Proposition 2.** Let  $\mathcal{G}$  be the Lie algebra generated by  $\{(\log \mathcal{Y} \mid t^n)\}_{n \geq 1}$ . Then we have  $\mathcal{G} = \text{Prim}(\mathcal{H}_{\boxplus})$ .

*Proof.* The power series  $\log \mathcal{Y} \in \mathbb{Q}\langle Y \rangle[[t]]$  is primitive then by expanding  $\log \mathcal{Y}$ , we get successively

$$\log \mathcal{Y}(t) = \sum_{k \geq 1} \frac{(-1)^{k-1}}{k} \left( \sum_{n \geq 1} y_n t^n \right)^k = \sum_{k \geq 1} \frac{(-1)^{k-1}}{k} \left( \sum_{\substack{s_1, \dots, s_n \geq 1 \\ s_1 + \dots + s_n = k}} y_{s_1} \dots y_{s_n} \right) t^k.$$

By (52), we get, for any  $n \geq 1$ ,  $\langle \log \mathcal{Y} \mid t^n \rangle = \pi_1(y_n)$  and since  $\{\pi_1(y_n)\}_{n \geq 1}$  generates freely  $\text{Prim}(\mathcal{H}_{\boxplus})$  [13], the expected result follows.

In virtue of (52) and (53), we also have

**Corollary 1.**

$$\begin{aligned} \mathcal{Y}(t) &= 1 + \sum_{n \geq 1} \left( \sum_{k \geq 1} \frac{1}{k!} \sum_{s_1 + \dots + s_k = n} \pi_1(y_{s_1}) \dots \pi_1(y_{s_k}) \right) t^n \\ \mathcal{Y}(t)^{-1} &= 1 + \sum_{n \geq 1} (-1)^n \left( \sum_{k \geq 1} \frac{1}{k!} \sum_{s_1 + \dots + s_k = n} \pi_1(y_{s_1}) \dots \pi_1(y_{s_k}) \right) t^n. \end{aligned}$$

<sup>11</sup> *idem.*



**Corollary 2.** *Let us write the (group-like) power series  $\mathcal{Y}^{-1}$  and its differentiation as follows*

$$\mathcal{Y}(t)^{-1} = 1 + \sum_{n \geq 1} X_n t^n \in \mathbb{Q}\langle Y \rangle[[t]] \text{ and } \dot{\mathcal{Y}}^{-1} = -\mathcal{Y}^{-1} \dot{\mathcal{Y}} \mathcal{Y}^{-1}.$$

*Then, for any  $n \geq 1$ , one has*

$$\sum_{i=1}^n y_i X_{n-i} = 0 \text{ and } \sum_{i=1}^n X_i y_{n-i} = 0.$$

*Proof.* Using the identities  $\mathcal{Y}\mathcal{Y}^{-1} = \mathcal{Y}^{-1}\mathcal{Y} = 1_{Y^*}$ , the results follow immediately by identification of the coefficients of  $t^n$  and by differentiation, respectively.

**Corollary 3.** *There exists two (unique and primitive) generating series  $L$  and  $R \in \mathbb{Q}\langle Y \rangle[[t]]$  satisfying  $\dot{\mathcal{Y}} = L\mathcal{Y} = \mathcal{Y}R$ . Moreover, if*

$$L(t) = \sum_{n \geq 1} L_n t^{n-1} \text{ and } R(t) = \sum_{n \geq 1} R_n t^{n-1}$$

*Then, for any  $n \geq 1$ ,*

$$\begin{aligned} ny_n &= \sum_{i=0}^{n-1} L_i y_{n-1-i} \quad \text{and} \quad ny_n = \sum_{i=0}^{n-1} y_i R_{n-1-i}, \\ L_n &= \sum_{i=0}^{n-1} (i+1) y_{i+1} X_{n-1-i} \text{ and } R_n = \sum_{i=0}^{n-1} (i+1) X_{n-1-i} y_{i+1}. \end{aligned}$$

*Proof.* On the one hand, by Proposition 1, one has

$$\frac{d}{dt} \mathcal{Y}(t) = \sum_{n \geq 1} ny_n t^{n-1}.$$

On the other hand, such generating series exist since

$$\begin{aligned} \dot{\mathcal{Y}} &= L\mathcal{Y} \quad \text{and} \quad \dot{\mathcal{Y}} = \mathcal{Y}R, \\ \iff L &= \dot{\mathcal{Y}}\mathcal{Y}^{-1} \text{ and } R = \mathcal{Y}^{-1}\dot{\mathcal{Y}}. \end{aligned}$$

Hence, identifying the coefficients of  $t^n$  in these identities, the expected results follows.

Moreover, since  $\Delta_{\boxplus}$  commutes with  $d/dt$  and it is a morphism for the concatenation then

$$\begin{aligned} \Delta_{\boxplus} L &= (\dot{\mathcal{Y}} \hat{\otimes} \mathcal{Y} + \mathcal{Y} \hat{\otimes} \dot{\mathcal{Y}})(\mathcal{Y}^{-1} \hat{\otimes} \mathcal{Y}^{-1}) = \dot{\mathcal{Y}}\mathcal{Y}^{-1} \hat{\otimes} \mathcal{Y}\mathcal{Y}^{-1} + \mathcal{Y}\mathcal{Y}^{-1} \hat{\otimes} \dot{\mathcal{Y}}\mathcal{Y}^{-1} = \dot{\mathcal{Y}}\mathcal{Y}^{-1} \hat{\otimes} 1_{Y^*} + 1_{Y^*} \hat{\otimes} \dot{\mathcal{Y}}\mathcal{Y}^{-1}, \\ \Delta_{\boxplus} R &= (\mathcal{Y}^{-1} \hat{\otimes} \mathcal{Y}^{-1})(\dot{\mathcal{Y}} \hat{\otimes} \mathcal{Y} + \mathcal{Y} \hat{\otimes} \dot{\mathcal{Y}}) = \mathcal{Y}^{-1} \dot{\mathcal{Y}} \hat{\otimes} \mathcal{Y}^{-1}\mathcal{Y} + \mathcal{Y}^{-1}\mathcal{Y} \hat{\otimes} \mathcal{Y}^{-1}\dot{\mathcal{Y}} = \mathcal{Y}^{-1} \dot{\mathcal{Y}} \hat{\otimes} 1_{Y^*} + 1_{Y^*} \hat{\otimes} \mathcal{Y}^{-1}\dot{\mathcal{Y}}. \end{aligned}$$

Hence,  $\Delta_{\boxplus} L = 1_{Y^*} \hat{\otimes} L + L \hat{\otimes} 1_{Y^*}$  and  $\Delta_{\boxplus} R = 1_{Y^*} \hat{\otimes} R + R \hat{\otimes} 1_{Y^*}$  meaning that  $L$  and  $R$  are primitive.

More generally, with the notations of Corollary 3, one has

**Proposition 3.** *For any  $k \geq 1$ , there exist two unique generating series  $\mathcal{L}_k, \mathcal{R}_k \in \mathbb{Q}\langle Y \rangle[[t]]$  such that  $\mathcal{Y}^{(k)} = \mathcal{L}_k \mathcal{Y} = \mathcal{Y} \mathcal{R}_k$ . The families  $\{\mathcal{L}_k\}_{k \geq 1}$  and  $\{\mathcal{R}_k\}_{k \geq 1}$  are defined recursively as follows*

$$\begin{aligned} \mathcal{L}_1 &= L \text{ and } \mathcal{L}_k = \dot{\mathcal{L}}_{k-1} + \mathcal{L}_{k-1} L, \\ \mathcal{R}_1 &= R \text{ and } \mathcal{R}_k = \dot{\mathcal{R}}_{k-1} + R \mathcal{R}_{k-1}. \end{aligned}$$

*Proof.* On the one hand, by Proposition 1, one has, as in Corollary 3,

$$\frac{d^k}{dt^k} \mathcal{Y}(t) = \sum_{n \geq k} (n)_k y_n t^{n-k},$$

where  $(n)_k = n(n-1)\dots(n-k)$  is the Pochhammer symbol. On the other hand, by induction

- For  $k = 1$ , it is Corollary 3.

- Suppose the property holds for any  $1 \leq n \leq k-1$ .
- For  $n = k$ , such generating series exist since, by induction hypothesis,

$$\begin{aligned}\mathcal{Y}^{(k)} &= \dot{\mathcal{L}}_{k-1}\mathcal{Y} + \mathcal{L}_{k-1}\dot{\mathcal{Y}} = \dot{\mathcal{L}}_{k-1}\mathcal{Y} + \mathcal{L}_{k-1}L\mathcal{Y} = (\dot{\mathcal{L}}_{k-1} + \mathcal{L}_{k-1}L)\mathcal{Y}, \\ \mathcal{Y}^{(k)} &= \dot{\mathcal{Y}}\mathcal{R}_{k-1} + \mathcal{Y}\dot{\mathcal{R}}_{k-1} = \mathcal{Y}R\mathcal{R}_{k-1} + \mathcal{Y}\dot{\mathcal{R}}_{k-1} = \mathcal{Y}(R\mathcal{R}_{k-1} + \dot{\mathcal{R}}_{k-1}).\end{aligned}$$

Hence,  $\mathcal{L}_k = \dot{\mathcal{L}}_{k-1} + \mathcal{L}_{k-1}L$  and  $\mathcal{R}_k = R\mathcal{R}_{k-1} + \dot{\mathcal{R}}_{k-1}$ .

**Corollary 4.** *For any proper power series  $A, B$ , let  $\text{ad}_A^n B$  be the iterated Lie brackets defined recursively by  $\text{ad}_A^0 B = B$  and  $\text{ad}_A^{n+1} B = [\text{ad}_A^n B, A]$ , for  $n \geq 1$ . Then, with notations of Corollary 3, one has*

$$\mathcal{L}_k = \sum_{n \geq 0} \frac{\text{ad}_{\log \mathcal{Y}}^n R}{n!} \text{ and } \mathcal{R}_k = \sum_{n \geq 0} (-1)^n \frac{\text{ad}_{\log \mathcal{Y}}^n \mathcal{L}_k}{n!}.$$

*Proof.* Since  $\mathcal{L}_k \mathcal{Y} = \mathcal{Y} \mathcal{R}_k$  then  $\mathcal{L}_k = \mathcal{Y} \mathcal{R}_k \mathcal{Y}^{-1} = \exp(\log \mathcal{Y}) \mathcal{R}_k \exp(-\log \mathcal{Y}) = \exp(\text{ad}_{\log \mathcal{Y}}) \mathcal{R}_k$  and then  $\mathcal{R}_k = \mathcal{Y}^{-1} \mathcal{L}_k \mathcal{Y} = \exp(-\log \mathcal{Y}) \mathcal{L}_k \exp(\log \mathcal{Y}) = \exp(\text{ad}_{-\log \mathcal{Y}}) \mathcal{L}_k$ . Expanding  $\exp$ , the results follow.

**Proposition 4.** *Let  $\mathcal{G}$  be the Lie algebra generated by  $\{R_n\}_{n \geq 1}$  (resp.  $\{L_n\}_{n \geq 1}$ ). Then  $\mathcal{G} = \text{Prim}(\mathcal{H}_{\boxplus})$ .*

*Proof.* By Corollary 3, one has on the one hand,

$$\sum_{n \geq 1} (\Delta_{\boxplus} R_n) t^{n-1} = 1_{Y^*} \otimes \left( \sum_{n \geq 1} R_n t^{n-1} \right) + \left( \sum_{n \geq 1} R_n t^{n-1} \right) \otimes 1_{Y^*} = \sum_{n \geq 1} (1_{Y^*} \otimes R_n + R_n \otimes 1_{Y^*}) t^{n-1}.$$

Thus, by identifying the coefficients of  $t^{n-1}$  in the first and last sums, one has  $\Delta_{\boxplus} R_n = 1_{Y^*} \otimes R_n + R_n \otimes 1_{Y^*}$ , meaning that  $R_n$  is primitive. On the other hand, according to basic properties of quasi-determinants ([6, 7], see also [5]), one has

$$ny_n = \begin{vmatrix} R_1 & R_2 & \dots & R_{n-1} & \boxed{R_n} \\ -1 & R_1 & \dots & R_{n-2} & R_{n-1} \\ 0 & -2 & \dots & R_{n-3} & R_{n-2} \\ 0 & 0 & \dots & -n+1 & R_1 \end{vmatrix} = \begin{vmatrix} R_1 & R_2 & \dots & R_{n-1} & \boxed{R_n} \\ -1 & R_1 & \dots & R_{n-2} & R_{n-1} \\ 0 & - & \dots & \frac{1}{2}R_{n-3} & \frac{1}{2}R_{n-2} \\ 0 & 0 & \dots & -1 & \frac{1}{n+1}R_1 \end{vmatrix}$$

Hence, for any  $J = (j_1, \dots, j_n) \in (\mathbb{N}_+)^*$ , by denoting  $R^J = R_{j_1} \dots R_{j_n}$ , one obtains

$$y_n = \sum_{w(J)=n} \frac{R^J}{\pi(J)} = \frac{R_n}{n} + \sum_{w(J)=n, l(J)>1} \frac{R^J}{\pi(J)}.$$

It means  $y_n$  is triangular and homogeneous in weight in  $\{R_k\}_{k \geq 1}$ . Conversely,  $R_n$  is also triangular and homogeneous in weight in  $\{y_k\}_{k \geq 1}$ . The  $R_k$ 's are then linearly independent and constitute a new alphabet. In the same way, the  $L_k$ 's are primitive and linearly independent. The expected results follow.

**Definition 1.** *Let us define the families  $\{\Pi_w^{(S)}\}_{w \in Y^*}$ , for  $S = L$  or  $R$ , of  $\mathcal{H}_{\boxplus}$  as follows*

$$\begin{cases} \Pi_{y_n}^{(S)} = L_n \text{ if } S = L \text{ or } R_n \text{ if } S = R, \text{ for } y_n \in Y, \\ \Pi_l^{(S)} = [\Pi_s^{(S)}, \Pi_r^{(S)}], & \text{for } l \in \mathcal{L} \text{yn} Y, \text{ with standard factorization of } l = (s, r), \\ \Pi_w^{(S)} = (\Pi_{l_1}^{(S)})^{i_1} \dots (\Pi_{l_k}^{(S)})^{i_k}, & \text{for } w = l_1^{i_1} \dots l_k^{i_k}, l_1 > \dots > l_k, l_1, \dots, l_k \in \mathcal{L} \text{yn} Y. \end{cases}$$

**Proposition 5.** *Then, the families  $\{\Pi_l^{(S)}\}_{l \in \mathcal{L} \text{yn} Y}$  (resp.  $\{\Pi_w^{(S)}\}_{w \in Y^*}$ ), for  $S = L$  or  $R$ , are bases of  $\text{Prim}(\mathcal{H}_{\boxplus})$  (resp.  $\mathcal{H}_{\boxplus}$ ), these bases are homogeneous in weight.*

*Proof.* These results (homogeneity, primitivity, linear independence) can be proved by induction on the length of Lyndon words.

**Definition 2.** *Let  $\{\Sigma_w^{(S)}\}_{w \in Y^*}$  be the family of  $\mathcal{H}_{\boxplus}^\vee$  obtained by duality with  $\{\Pi_w^{(S)}\}_{w \in Y^*}$  :*

$$\forall u, v \in Y^*, \langle \Pi_u^{(S)} | \Sigma_v^{(S)} \rangle = \delta_{u,v}.$$

**Theorem 1.** *1. The family  $\{\Pi_l^{(S)}\}_{l \in \mathcal{L} \text{yn} Y}$  forms a basis of the Lie algebra generated by  $\text{Prim}(\mathcal{H}_{\boxplus})$ .*

2. The family  $\{\Pi_w^{(S)}\}_{w \in Y^*}$  forms a basis of  $\mathcal{U}(\text{Prim}(\mathcal{H}_{\mathbf{u}}))$ .
3. The family  $\{\Sigma_w^{(S)}\}_{w \in Y^*}$  freely generates the quasi-shuffle algebra.
4. The family  $\{\Sigma_l^{(S)}\}_{l \in \mathcal{L}_{yn}Y}$  forms a transcendence basis of the quasi-shuffle algebra.

*Proof.* The family  $\{\Pi_l^{(S)}\}_{l \in \mathcal{L}_{yn}Y}$  of primitive upper triangular homogeneous in weight polynomials is free and the first result follows. The second is a direct consequence of the Poincaré-Birkhoff-Witt theorem. By the Cartier-Quillen-Milnor-Moore theorem, we get the third one and the last one is obtained as a consequence of the constructions of  $\{\Sigma_l^{(S)}\}_{l \in \mathcal{L}_{yn}Y}$  and  $\{\Sigma_w^{(S)}\}_{w \in Y^*}$ .

**Corollary 5.**

$$\mathcal{D}_{\mathbf{u}} = \prod_{l \in \mathcal{L}_{yn}Y}^{\searrow} \exp(\Sigma_l^{(S)} \otimes \Pi_l^{(S)}).$$

Note that any word  $u = y_{i_1} \dots y_{i_k} \in Y^*$  corresponds one by one to a composition of integers  $I = (i_1, \dots, i_k) \in (\mathbb{N}_+)^*$  (and the empty word  $1_{Y^*}$  corresponds to the empty composition  $\emptyset$ ). Note also that noncommutative symmetric functions and quasi-symmetric functions can be indexed by words in  $Y^*$  instead of by compositions in  $(\mathbb{N}_+)^*$ . Indeed, let  $J$  be a composition, finer than  $I$ , associated to the word  $v$  and let  $J = (J_1, \dots, J_k)$  be the decomposition of  $J$  such that, for any  $p = 1, \dots, k$ ,  $w(J_p) = i_p$  and  $J_p$  is associated to the word  $u_p$  whose  $w(u_p) = i_p$ . Then  $v \preceq u = u_1 \dots u_k$  is a unique factorization and this will be denoted as a bracketing of the word  $v$ .

*Example 1.* One has

- $(1, 2, 2) \preceq (1, (1, 1), 2) = (1, 1, 1, 2) \longleftrightarrow y_1 y_2 y_2 \preceq y_1 (y_1 y_1) y_2 = y_1 y_1 y_1 y_2$ .
- $(1, 2, 2) \preceq (1, 2, (1, 1)) = (1, 2, 1, 1) \longleftrightarrow y_1 y_2 y_2 \preceq y_1 y_2 (y_1 y_1) = y_1 y_2 y_1 y_1$ .
- $(1, 2, 2) \preceq (1, (1, 1), (1, 1)) = (1, 1, 1, 1, 1) \longleftrightarrow y_1 y_2 y_2 \preceq y_1 (y_1 y_1) (y_1 y_1) = y_1 y_1 y_1 y_1 y_1$ .

Hence, we can state the following

**Definition 3.** Let  $\mathcal{S}$  and  $\mathcal{M}$  be the following linear maps

$$\begin{aligned} \mathcal{S} : (\mathbf{k}\langle Y \rangle, \bullet, 1, \Delta_{\mathbf{u}}, \mathbf{e}) &\longrightarrow (\mathbf{k}\langle S_1, S_2, \dots \rangle, \bullet, 1, \Delta_{\star}, \epsilon), \\ u = y_{i_1} \dots y_{i_k} &\longmapsto \mathcal{S}(u) = S^{(i_1, \dots, i_k)} = S_{i_1} \dots S_{i_k}, \\ \mathcal{M} : (\mathbf{k}\langle Y \rangle, \mathbf{u}, 1, \Delta_{\bullet}, \mathbf{e}) &\longrightarrow (\mathbf{k}\langle M_1, M_2, \dots \rangle, \star, 1, \Delta_{\bullet}, \epsilon), \\ u = y_{i_1} \dots y_{i_k} &\longmapsto \mathcal{M}(u) = M_{(i_1, \dots, i_k)} = M_{i_1} \dots M_{i_k}. \end{aligned}$$

**Theorem 2.** The maps  $\mathcal{S}$  and  $\mathcal{M}$  are isomorphisms of Hopf algebras.

**Corollary 6.** Let  $\mathcal{G}$  be the Lie algebra generated by  $\{\Pi_y\}_{y \in Y}$ . Then, we have  $\mathbf{Sym}_{\mathbf{k}} \cong \mathcal{U}(\mathcal{G})$ .

**Corollary 7.** The families  $\{\mathcal{M}(l)\}_{l \in \mathcal{L}_{yn}Y}$  and  $\{\mathcal{M}(\Sigma_l)\}_{l \in \mathcal{L}_{yn}Y}$  are transcendence bases of the free commutative  $\mathbf{k}$ -algebra  $\mathbf{QSym}_{\mathbf{k}}$ .

**Corollary 8.** Let  $w = i_1 \dots i_k \in Y^*$  associated to  $I = (i_1, \dots, i_k) \in (\mathbb{N}_+)^*$ . Then, we have

$$S^I = \mathcal{S}(w), \quad \frac{\Phi^I}{\pi(I)} = \mathcal{S}(\pi_1(y_{i_1}) \dots \pi_1(y_{i_k})), \quad \Psi^I = \mathcal{S}(R_w).$$

*Proof.* On the one hand, the power series  $\mathcal{Y}, \log \mathcal{Y}$  and  $L, R \in \mathbf{k}\langle Y \rangle[[t]]$  are summable. On the other hand, by (9) and (10), since  $\mathcal{S}$  is continuous and commutes with  $\log$ , one can deduce

$$\begin{aligned} \sigma(t) &= \mathcal{S}(\mathcal{Y}(t)) = 1 + \sum_{k \geq 1} \mathcal{S}(y_k) t^k, \\ \sum_{k \geq 1} \frac{\Phi_k}{k} t^k &= \log \sigma(t) = \mathcal{S}(\log \mathcal{Y}(t)) = \sum_{k \geq 1} \mathcal{S}(\pi_1(y_k)) t^k, \\ \sum_{k \geq 1} \Psi_k t^{k-1} &= \psi(t) = \mathcal{S}(R(t)) = \sum_{k \geq 1} \mathcal{S}(R_k) t^{k-1}, \\ \sum_{k \geq 1} t^{k-1} \Psi_k^* &= \psi^*(t) = \mathcal{S}(L(t)) = \sum_{k \geq 1} \mathcal{S}(L_k) t^{k-1}. \end{aligned}$$

Thus, the expected result follows immediately.

### 3.3 Dual bases for noncommutative symmetric and quasi-symmetric functions via Schützenberger's monoidal factorization

**Definition 4.** With the notations of (56), let us consider the following noncommutative generating series  $\{\mathcal{M}(w)\}_{w \in Y^*}$  and  $\{\mathcal{S}(w)\}_{w \in Y^*}$

$$M = \sum_{w \in Y^*} \mathcal{M}(w) \quad w \in \mathbf{QSym}_k \langle\langle Y \rangle\rangle \quad \text{and} \quad S = \sum_{w \in Y^*} \mathcal{S}(w) \quad w \in \mathbf{Sym}_k \langle\langle Y \rangle\rangle.$$

**Proposition 6.** For the coproduct  $\Delta_{\boxplus}$ , using (56), we obtain

1. The noncommutative generating series  $M$  is group-like.
2. The noncommutative generating series  $\log M$  is primitive.

*Proof.* 1. It follows Friedrichs' criterion [13].

2. By using the previous result and by applying the log map on the power series  $M$ , we get the expected result.

**Corollary 9.**

$$M = \prod_{l \in \mathcal{L}yn Y} \exp(\mathcal{M}(\Sigma_l) \Pi_l) \in \mathbf{QSym}_k \langle\langle Y \rangle\rangle \quad \text{and} \quad \log M = \sum_{w \in Y^*} \mathcal{M}(w) \pi_1(w) \in \mathbf{QSym}_k \langle\langle Y \rangle\rangle.$$

*Proof.* The first identity is equivalent to the image of the diagonal series  $\mathcal{D}_{\boxplus}$  by the tensor  $\mathcal{M} \otimes \text{Id}$ . The second one is then equivalent to the image of  $\log M$  by the tensor  $\text{Id} \otimes \pi_1$ . It is also equivalent to the image of  $\mathcal{D}_{\boxplus}$  by the tensor  $\mathcal{M} \otimes \pi_1$ .

Finally, using (56) we deduce the following property which completes the formulae (120) given in [5] :

**Corollary 10.** We have

$$\begin{aligned} \sum_{w \in Y^*} \mathcal{M}(w) \mathcal{S}(w) &= \prod_{l \in \mathcal{L}yn Y} \exp(\mathcal{M}(\Sigma_l) \mathcal{S}(\Pi_l)) = \prod_{l \in \mathcal{L}yn Y} \exp(\mathcal{M}(\Sigma_l^{(S)}) \mathcal{S}(\Pi_l^{(S)})), \\ \iff \sum_{w \in Y^*} M_w S_w &= \prod_{l \in \mathcal{L}yn Y} \exp(M_{\Sigma_l} S_{\Pi_l}) = \prod_{l \in \mathcal{L}yn Y} \exp(M_{\Sigma_l^{(S)}} S_{\Pi_l^{(S)}}). \end{aligned}$$

*Proof.* By Theorem 2, it is equivalent to the image of the diagonal series  $\mathcal{D}_{\boxplus}$  by the tensor  $\mathcal{M} \otimes \mathcal{S}$ , or equivalently it is equivalent to the image of the power series  $M$  by the tensor  $\text{Id} \otimes \pi_1$ .

Note that these formulas are universal for any pair of bases in duality, compatible with monoidal factorization of  $Y^*$ , and they do not depend on the specific alphabets, usually denoted by  $A$  and  $X$ , used to define  $S(A) \in \mathbf{Sym}_k(A)$  and  $M(X) \in \mathbf{QSym}_k(X)$ .

*Example 2 (Cauchy type identity, [5]).* Let  $A$  be a noncommutative alphabet and  $X$  a totally ordered commutative alphabet. The symmetric functions of the noncommutative alphabet  $XA$  are defined by means of

$$\sigma(XA; t) = \sum_{n \geq 0} S_n(XA) t^n := \prod_{x \in X}^{\leftarrow} \sigma(A; xt).$$

Let  $\{U_I\}_{I \in (\mathbb{N}_+)^*}$  and  $\{V_I\}_{I \in (\mathbb{N}_+)^*}$  be two linear bases of  $\mathbf{Sym}_k(A)$  and  $\mathbf{QSym}_k(X)$  respectively. The duality of these bases means that<sup>12</sup>

$$\sigma(XA; 1) = \sum_{I \in (\mathbb{N}_+)^*} M_I(X) S^I(A) = \sum_{I \in (\mathbb{N}_+)^*} V_I(X) U_I(A).$$

Typically, the linear basis  $\{U_I\}_{I \in (\mathbb{N}_+)^*}$  is the basis of *ribbon* Schur functions  $\{R_I\}_{I \in (\mathbb{N}_+)^*}$ , and, by duality,  $\{V_I\}_{I \in (\mathbb{N}_+)^*}$  is the basis of *quasi-ribbon* Schur functions  $\{F_I\}_{I \in (\mathbb{N}_+)^*}$  :

$$\sigma(XA; 1) = \sum_{I \in (\mathbb{N}_+)^*} M_I(X) \left[ \sum_{\substack{J \in (\mathbb{N}_+)^* \\ J \leq I}} R_J(A) \right] = \sum_{J \in (\mathbb{N}_+)^*} \left[ \sum_{\substack{I \in (\mathbb{N}_+)^* \\ I \geq J}} M_I(X) \right] R_J(A) = \sum_{J \in (\mathbb{N}_+)^*} F_J(X) R_J(A).$$

Also, if one specializes the alphabets of the quasi-symmetric functions  $\{M_I\}_{I \in (\mathbb{N}_+)^*}$  and  $\{F_I\}_{I \in (\mathbb{N}_+)^*}$  to the commutative alphabet  $X_q = \{1, q, q^2, \dots\}$ , then the generating series  $\sigma(X_q A; t)$  can be viewed as the image of the diagonal series  $\mathcal{D}_{\boxplus}$  by the tensor  $f \otimes \mathcal{S}$ , where  $f : x_i \mapsto q^i t$ , and one has

<sup>12</sup> i.e. the formulae (120) given in [5].

*Example 3 (Generating series of the analog Hall-Littlewood functions, [5]).* Let  $X_q = 1/(1-q)$  denotes the totally ordered commutative alphabet  $X_q = \{\cdots < q^n < \cdots < q < 1\}$ . The complete symmetric functions of the noncommutative alphabet  $A/(1-q)$  are given by the following ordinary generating series

$$\sigma\left(\frac{A}{1-q}; t\right) = \sum_{n \geq 0} S_n\left(\frac{A}{1-q}\right) t^n := \prod_{n \geq 0}^{\leftarrow} \sigma(A; q^n t).$$

Hence,

$$\sigma\left(\frac{A}{1-q}; 1\right) = \prod_{n \geq 0}^{\leftarrow} \sum_{i \geq 0} S_i q^{ni} = \sum_{I=(i_1, \dots, i_r) \in (\mathbb{N}_+)^*} \left[ \sum_{n_1 > \dots > n_r \geq 1} q^{n_1 i_1 + \dots + n_r i_r} \right] S^I(A) = \sum_{I \in (\mathbb{N}_+)^*} M_I(X) S^I(A),$$

by specializing each letter  $x_i \in X$  to  $q^i$  in the quasi-symmetric function  $M_I(X)$ .

## 4 Conclusion

Once again, the Schützenberger’s monoidal factorization plays a central role in the construction of pairs of bases in duality, as exemplified for the (mutually dual) Hopf algebras of quasi-symmetric functions ( $\mathbf{QSym}_k$ ) and of noncommutative symmetric functions ( $\mathbf{Sym}_k$ ), obtained as isomorphical images of the quasi-shuffle Hopf algebra ( $\mathcal{H}_{\boxplus}$ ) and its dual ( $\mathcal{H}_{\boxplus}^\vee$ ), by  $\mathcal{M}$  and  $\mathcal{S}$  respectively.

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